

Docket No. 240467US8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yutaka OHKI, et al.

SERIAL NO: 10/619,437

GAU:

FILED: July 16, 2003

EXAMINER:

FOR: SEMICONDUCTOR LASER DEVICE, SEMICONDUCTOR LASER MODULE, AND OPTICAL FIBER AMPLIFIER

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Bradley D. Lytle

Registration No. 40,073

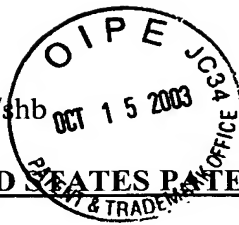
Joseph A. Scafetta, Jr.  
Registration No. 26,803

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

DOCKET NO.: 240467US8/shb



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Yutaka OHKI, et al.

SERIAL NO: 10/619,437

GROUP:

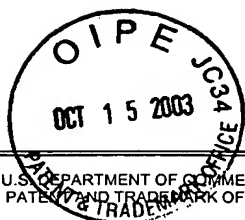
FILED: July 16, 2003

EXAMINER:

FOR: SEMICONDUCTOR LASER DEVICE, SEMICONDUCTOR LASER  
MODULE, AND OPTICAL FIBER AMPLIFIER

**STATEMENT OF RELEVANCY**

Reference AO (JP 2001-134545) on Form 1449 is discussed on page 16 of the specification.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

240467US8

SERIAL NO.

10/619,437

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Yutaka OHKI, et al.

FILING DATE

July 16, 2003

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2001/0036004 A1	11/01/2001	D. ACKERMAN, et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2001-134545	05/18/2001	JAPAN (with English Abstract, corr. USSN 09/983,249)		X
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	R. H. WENTWORTH, et al., Journal of Lightwave Technology, vol. 10, no. 1, pages 84-89, "LASER MODE PARTITION NOISE IN LIGHTWAVE SYSTEMS USING DISPERSIVE OPTICAL FIBER", January 1992				
	AX	Y. OHKI, et al., Optical Society of America, 2 pages, "RELATIVE INTENSITY NOISE RISING AFTER FIBER TRANSMISSION IN CO-PROPAGATING RAMAN PUMP LASERS", 2000				
	AY					
	AZ				<input type="checkbox"/> Additional References sheet(s) attached	

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.